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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 28911/35930

**CONTINUED PROSECUTION APPLICATION REQUEST  
TRANSMITTAL UNDER 37 CFR 1.53(d)**

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**Box CPA  
Commissioner for Patents  
Washington, D.C. 20231**

Sir:

This is a request under 37 CFR 1.53(d) for filing a

- ☒ continuation application.  
☐ divisional application.

**1. Particulars of Prior Application**

Application Serial No: 09/380,932 filed September 10, 1999, U.S. National Phase Application Based on PCT/GB98/00840 filed March 20, 1998 Based on EP 97301917.7 filed March 21, 1997

Title: Extraction and Utilisation of VNTR Alleles

Art Unit: 1635


Examiner: Shibuya

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Continued Prosecution Application Request Transmittal Under 37 CFR 1.53(d) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **August 13, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EL 827656786 US.

08/15/2001 TBESHAH1 00000033 09380932

01 FC:131 710.00 OP  
02 FC:103 180.00 OP  
03 FC:102 480.00 OP  
04 FC:104 270.00 OP

  
Richard Zimmermann

**2. This request is filed by:**

<b>1.</b> Full Name of Inventor	Family Name Firth	First Given Name Greg	Second Given Name
Residence & Citizenship	City Hertfordshire	State or Foreign Country United Kingdom	Country of Citizenship Great Britain
Post Office Address	Post Office Address 11 Old School Close	City Hertfordshire	State & Zip Code/Country SG4 8YJ/United Kingdom
<b>2.</b> Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country
<b>3.</b> Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

<b>4.</b> Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

<b>5.</b> Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

<b>6.</b> Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

**3. Amendments**

- ☐ Cancel claims \_\_\_\_\_ in the prior application before calculating the filing fee.
- ☐ A Preliminary Amendment is enclosed.
- ☐ Please enter the Amendment Under 37 CFR 1.116 previously filed in the prior nonprovisional application on \_\_\_\_\_, but unentered.
- ☒ The filing fee is based upon entry of the foregoing amendment(s) (if any).

**4. Priority**

- ☒ Priority of application No. 97301917.7, filed on March 21, 1997 in the European Patent Office is claimed under 35 USC 119.

**5. Assignment**

- ☐ The prior application is assigned of record to \_\_\_\_\_, and has been recorded at Reel No. \_\_\_\_\_, Frame No. \_\_\_\_\_.

**6. Small Entity Status**

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ A small entity statement is(are) attached.

**7. Power of Attorney**

- ☐ A new power of attorney or authorization of agent is enclosed.

**8. Information Disclosure Statement**

- ☐ PTO-1449 is enclosed.
- Copies of Information Disclosure Statement citations are enclosed.

9. **Application to Be Published**

- ☐ Yes.
- ☐ No. A Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is attached.

10. **Fee Calculation**

CLAIMS AS FILED - INCLUDING AMENDMENT(S) (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355.00		\$710.00
TOTAL	30 -20	= 10	X 9 =	\$	X 18 =	\$180.00
INDEP.	9 - 3	= 6	X 40 =	\$	X 80 =	\$480.00
<input checked="" type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 135 =	\$	+ 270 =	\$270.00
Filing Fee:				\$	OR	\$1,640.00

11. **Method of Payment of Fees**

- ☒ Attached is a check in the amount of: \$1,640.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this Transmittal is enclosed.

12. **Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

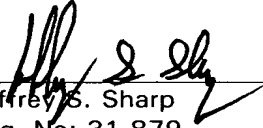
**13. Correspondence Address**

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6402  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:

  
Jeffrey S. Sharp  
Reg. No: 31,879

August 13, 2001